

## **CODE OF CONDUCT FOR INVESTMENT ADVISER**

### **HONESTY AND FAIRNESS**

An Investment Adviser shall act honestly, fairly, and in the best interests of its clients and the integrity of the market.

### **DUE SKILL, CARE AND DILIGENCE**

An Investment Adviser shall act with due skill, care, and diligence in the conduct of all investment advisory activities.

### **CLIENT INTERESTS**

An Investment Adviser shall place the interests of the client above its own interests.

### **DISCLOSURE OF MATERIAL INFORMATION**

An Investment Adviser shall make adequate disclosures of all material information, including any actual or potential conflicts of interest, to its clients in a clear and timely manner.

### **NO MISREPRESENTATION**

An Investment Adviser shall not make any untrue statement or omit to state a material fact, nor shall it make any misleading representation or exaggerated claims.

### **CONFIDENTIALITY**

An Investment Adviser shall maintain confidentiality of all information relating to clients and shall not disclose such information unless required by law or with the consent of the client.

### **PROFESSIONAL CONDUCT**

An Investment Adviser shall conduct its business in a professional manner and shall not engage in any act involving fraud, dishonesty, or deceit.

### **COMPLIANCE WITH REGULATORY REQUIREMENTS**

An Investment Adviser shall comply with all applicable provisions of the SEBI Act, the SEBI (Investment Advisers) Regulations, 2013, and circulars, guidelines, or directions issued by SEBI from time to time.

### **NO ASSURED RETURNS**

An Investment Adviser shall not promise or guarantee any assured or fixed returns to clients.

### **GRIEVANCE REDRESSAL**

An Investment Adviser shall ensure that client grievances are redressed promptly and appropriately.

### **COOPERATION WITH REGULATORY AUTHORITIES**

An Investment Adviser shall cooperate with SEBI and any other regulatory authority in any inspection, inquiry, or investigation.